

MAC Protocol Operation in Energy Harvesting for 5G Networks

Joydev Ghosh^{*}, Zoltán Jakó²

¹The New Horizons Institute of Technology, Durgapur-08, W.B, India

²Budapest University of Technology and Economics, Hungary

Abstract

Two vital **challenges arise** in the next generation 5G networks: scarcity of spectrum and the aspect of energy efficiency (EE). In this letter, we first formulate the prime issue, i.e., energy harvesting (EV) for 5G wireless networks, in which spectrum and energy resources are efficiently received and resourcefully managed. Thereafter, we introduce a medium access control (MAC) scheme for dual tier 5G networks; it also **invokes the** simultaneous wireless information and power transfer (SWIPT) technique with other data transmission **schemes**, i.e., cooperative transmission (CT) scheme and non-cooperative transmission (NCT) scheme with EV abilities. Illustrative results demonstrate significant improvement in aggregated throughput and EE.

Keywords: SWIPT technique, MAC Protocol, Energy Harvesting, NCT scheme, 5G

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1. Introduction

An interesting metrics in next generation wireless **networks** is energy supply in a **cooperative** cognitive radio network (CCRN) and this can be one of the most crucial issues to reduce the cost of **an entire network**. Therefore, a **emerging** technique, energy harvesting (EV), **is introduced** to elongate lifetime of wireless network in a much safe and better way, i.e., harvesting energy from ambient environment [1], [2] and radio frequency (RF) signal. The application of EV to CCRN has been reviewed in [3]. **In simultaneous** wireless information and power transfer (SWIPT) technique, a **user** at the receiving end utilizes the same received signal to decode information and also for harvesting energy. Besides, **the SWIPT** technique is divided in two modes, Time Switching (TS) mode and Power Splitting (PS) mode [4].

In this letter, we introduce a CCRN with EV and analyze the performance of MAC protocol operation for 5G networks. **The** Nakagami-*m* fading channel has been included in the simulation testbed as it provides better approximated results in contrast to the Rayleigh fading channel [5]. The major contributions of this letter can be summarized as follows:

- We analyze theoretically Harvested Energy at the secondary transmitter (ST) in a **cooperative** transmission (CT) scheme over Nakagami-*m* fading channel. The EV with femtocells is a new challenging topic.
- **A MAC protocol scheme** has been introduced with heterogenous spectrum sensing and EV capabilities. Illustrative results on the proposed protocol provide useful insights in energy efficiency (EE) and spectral efficiency (SE) for 5G networks.

^{*}Corresponding author. Email:joydev.ghosh.ece@gmail.com

- We compare the performance of the proposed MAC protocol scheme, CT scheme and non-cooperative transmission (NCT) scheme. Most importantly, we probe the trade-off between network performance of energy consumption and aggregated throughput in details.

2. System Model

According to Fig.1, we introduce a CCRN where a macrocell network coexists with a femtocell network. The **Macrocell network** consists of single macro user (MU) and it further composed of a transmitter and a receiver, while in comparison, the femtocell network includes F denotes femto users (FUs), i.e., F denoted the number of transmitter and receiver units. Furthermore, we assume that MU can share their licensed band with secondary networks for exchange of cooperation. Hence, FUs can get benefits, meanwhile, MU's need of quality of experience (QoE) can be fulfilled. Besides, independently and identically distributed (i.i.d) Nakagami-m fast fading is assumed for all channels in the model.

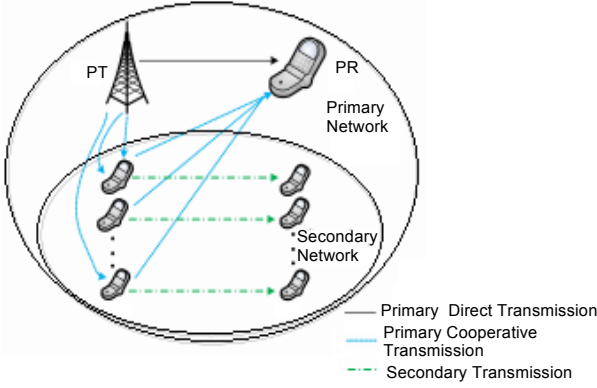


Fig.1 System Model

uv : ST to PR	$u(1-v)$: ST to SR	$(1-u)$: PT to ST
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Fig.2 Segmentation of Phase

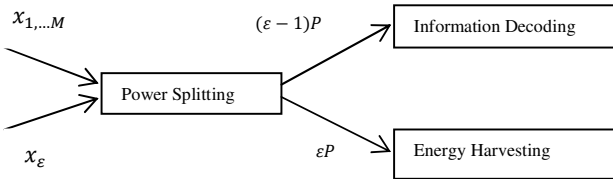


Fig.3 Energy Harvesting Protocol

A. Phase Splitting and EV

Let variable φ ($|\varphi| = F$) and \emptyset ($|\emptyset| = x \leq F$) indicate the collections of objects, i.e., entire STs in the femtocell network and the set of entire STs have the opportunity to involve in coordination, respectively. Without any violation of generality, we consider the time duration for transmission is unity, and split up the entire phase into a number of segments as depicted in Fig. 2. The 1st phase denoted by uv ($0 < u < 1$) is the time interval to perform EV by the STs. In the 2nd phase, denoted by $u(1-v)$ ($0 < v < 1$), entire STs considered in the 1st phase forward information to SR. Finally, in the 3rd phase, denoted by $(1-u)$, every considered PT forwards its own information to the respective receiver.

In addition, the PS mode has been applied, where every ST can divide the entire power of the received signal into two components as depicted in Fig. 3: the first one, i.e., εP , for EV and the second one, i.e., $(1-\varepsilon)P$ for information decoding of the received signal in connection to the PT, where ε denotes the fraction of received signal power (P). Nevertheless, STs also harvest energy from interferers and RF signals (I_n , $n \in [1, M]$) [6].

B. Cooperative Transmission (CT) Scheme

In the first phase, the signal received by the x^{th} ($0 < x < F$) ST can be expressed as

$$S_{1x} = \sqrt{P_{PT}} h_{PxS} I_{PT} + \sum_{n=1}^M \sqrt{P_{nx}} g_{nx} I_n + \zeta_{1x} \quad (1)$$

where P_{PT} indicates the transmit power (TP) of PT, h_{PxS} indicates the channel gain of PT to x^{th} , I_{PT} indicates the transmit signal from PT, M indicates the number of interferers at ST, P_{nx} indicates TP from the n^{th} interferer to the x^{th} ST, g_{nx} indicates the channel gain from the n^{th} interferer to the x^{th} ST, I_n indicates transmitting signal of the n^{th} interferer, ζ_{1x} indicates i.i.d Additive White Gaussian Noise (AWGN) with zero mean and variance equals to N_0 at the x^{th} ST.

In the second phase, the signal received by the PR associated to the x^{th} ST can be expressed by

$$S_{2x} = \sqrt{P_{ST}} h_{SxP} I_{xST} + \zeta_{2x} \quad (2)$$

where P_{ST} indicates TP of ST, h_{SxP} indicates the channel gain of the x^{th} ST to PT, I_{xST} indicates the transmit signal from the x^{th} ST, ζ_{2x} indicates i.i.d AWGN with zero mean and variance equals to N_0 at PR associated to the x^{th} ST.

Furthermore, we consider that every ST in the set of φ has the capability to decode information successfully, i.e., both I_{PT} and I_{xST} are treated as the same. In the first phase, the PS mode has been applied by ST with ε

($0 < \varepsilon < 1$), the observation on the received signal in connection with the x^{th} ST to EV can be expressed as

$$S_x = \sqrt{\varepsilon P_{PT}} h_{PxS} I_{PT} + \sqrt{\varepsilon} \sum_{n=1}^M \sqrt{P_{nx}} g_{nx} I_n + \sqrt{\varepsilon} \zeta_{1x} \quad (3)$$

Hence, the harvested energy can be expressed by [11]

$$E_x = \omega \nu \varepsilon \eta \left(P_{PT} |h_{PxS}|^2 + \sum_{n=1}^M \sqrt{P_{nx}} |g_{nx}|^2 \right) \quad (4)$$

where η indicates an energy conversion factor while STs store harvested energy into their storage devices, $\omega \nu$ indicates that the EV takes place only in the first phase, $\sum_{n=1}^M \sqrt{P_{nx}} |g_{nx}|^2$ introduces from the end of interferers at STs. Therefore, it is quite obvious that the harvested energy is proportional to $\omega, \nu, \varepsilon, \eta$.

It is also assumed that harvested energy in STs is adequate for the transmission of ST to PR. Thereafter, harvested energy of STs belong to the set of φ are not less than Q_0 (i.e., $E_x \geq Q_0$) would have selected from φ and placed into \emptyset ($|\emptyset| = x \leq F$) as a ST having opportunity for coordination [10].

C. Our Proposed MAC Protocol

A sensor network is comprised of a large number of limited power sensor nodes which collect and process data from a target domain and transmit information back to specific sites (e.g., headquarters, disaster control centres) [8]. We consider wireless sensor networks which share the same wireless communication channel. A Medium Access Control (MAC) protocol specifies how nodes share the channel, and hence plays a central role in the performance of a sensor network. Sensor networks contain many nodes, typically dispersed at high, possibly non-uniform, densities; sensors may turn on and off in order to conserve energy; and, the communication traffic is space and time correlated. Contention occurs when two nearby sensor nodes both attempt access the communication channel. Contention causes message collisions, which are very likely to occur when traffic is frequent and correlated, and they decrease the lifetime of a sensor network [9]. A MAC protocol is contention-free if it does not allow any collisions. A contention-free MAC protocol should be able to bring the network from an arbitrary state to a collision-free stable state. Since the protocol is distributed, during this stabilization phase collisions are unavoidable. In the stable state, we measure the efficiency by a node's throughput, the inverse of the time interval between which it is allowed to transmit messages by the MAC protocol.

We introduce MAC protocol operation based on cooperative sensing (CS) for an EV-CR network, where the FUs can apply CS to improve their sensing

performance. In the CS mode, the FUs deployed with an EV module will sense the licensed channels (LCs) to find the unoccupied channel (i.e., the channel that is not used by MU), or to check whether their unconsumed energy goes beyond a preset threshold or not. However, we assume EV heterogeneity, which can be stated as the different capabilities to collect energy from the ambient environment for different FUs. Besides, different FUs may have separate sensing capabilities. The different phases of the MAC protocol operation are depicted in Fig. 4. The entire phase in MAC protocol operation is split up into three stages: affirmation stage, sensing stage and transmission stage.

At the affirmation stage, when FUs have data to forward, it initially follows the control channel. If there is nothing found regarding the exchange of information, the FU sends a signal to the predetermined receiver to book the control channel. In the sensing stage, the FU transmits sensing signal regarding the essentiality of the CS mechanism and

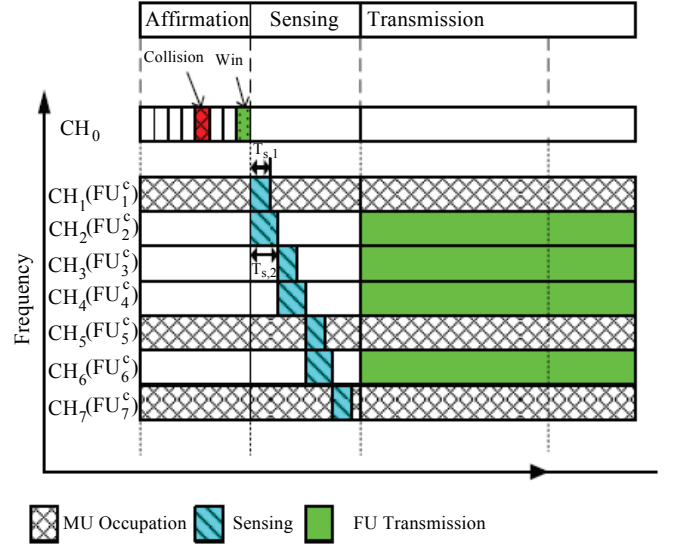


Fig. 4: Different Phases of MAC Protocol Operation

halts for other FUs to join in this sensing process by sending a reply signal with the information on the status of their energy. When an automatic mechanism for activating a device at a preset time is expired, the FU evaluates the optimum number of the cooperative all FUs and the amount of residual energy used by each FU in sensing. Thereafter, entire FUs begin CS mechanism. Once all channels are sensed, the FU shares information with all cooperative FUs for unoccupied channels. In the transmission stage, cooperative data transmissions of the FUs happen simultaneously over the available channels.

D. Throughput Performance

A Remarkable amount of energy is consumed by the cooperative FUs that may cause the energy scarcity at the sensing stage and transmission stage. Thus, cooperative FUs and the consumption of energy in CS are two important design variables to balance this initial tradeoff between the obtainable throughput $y(t)$ and the sensing overhead $z(t)$.

The difference between the throughput and the sensing overhead defines the aggregate throughput of the femtocell based 5G networks. Let ψ indicates the whole amount of licensed channels. Let $\theta_{s,x}(t)$ represents the consumption of energy for CS by the x^{th} FU during the time slot t . We formulate an optimization problem to balance the achieved throughput and the sensing overhead as follows

$$\max_{\substack{F, \varphi_{s,i} \\ x=1,2,\dots,F}} \lim_{T \rightarrow \infty} \frac{1}{T} \sum_{t=1}^T [y(t) - z(t)] \quad (5)$$

$$s.t. \ r \theta_{s,x}(t) \leq E_{r,x}(t) - E_{o,x},$$

$$E_{r,x}(t+1) = \min\{E_{r,x}(t) + E_x(t) - r \theta_{s,x}(t), E_c\} \quad (6)$$

$$x = 1, 2, \dots, v; \quad t = 1, 2, \dots, T$$

where $r = \frac{\psi}{v}$ indicates the number of CS round, $E_{r,x}(t)$ indicates the unconsumed energy at the x^{th} FU during the time slot t , $E_{o,x}$ indicates the energy threshold for the x^{th} FU, E_c indicates the capacity of the storage device for all FUs, $\{E_x(t) \mid x = 1, 2, \dots, v\}$ indicates the harvested energy by each FU during time slot t and it is prototyped of an i.i.d sequence of random variables with mean value $\mathbb{E}[E_x(t)] = \overline{E_x}$.

3. Results and discussion

In this section, we assess the performance of introduced MAC protocol operation with the CT scheme and the NCT scheme. In the NCT scheme, each FU has to observe a set of LCs subsequently by itself. In CT scheme, each FU arbitrarily selects one of the LCs for sensing without taking the cautious option of the cooperative FUs as well as the consumption of energy during sensing. In the simulation testbed, 30 FUs and 10 LCs are included. The channel bandwidth (BW) is 1 MHz. The time duration of a frame is 1second. The sensing power (SP) and TP of a FU are 110 mW and 210 mW, respectively. Additionally, $E_{o,x} = 0.1$ J and $E_c = 1$ J.

Fig. 5 illustrates the comparison of the consumption of energy among the MAC protocol scheme, CT scheme and NCT scheme. It can be noticed that the introduced MAC protocol scheme is used to spend a smaller amount of energy compared to the CT scheme. The reason behind this phenomenon is that the MAC protocol only permits

the optimum number of FUs to join with the CS scheme. In the intervening period of time, the sensing energy used up by each cooperative FU is conscientiously decided. Thus, the consumption of energy at the sensing stage can be significantly minimized. Furthermore, the proposed protocol consumes more energy in contrast to the NCT scheme. Therefore, in the MAC protocol, the CS requires sensing aid from other FUs which causes additional consumption of energy. Although, this energy consumption can achieve elevated throughput as depicted in Fig. 6.

Fig.6 illustrates the aggregate throughput of the MAC protocol scheme, in contrast to the CT scheme and NCT scheme. The difference indicates that the introduced MAC protocol scheme is capable to obtain much larger throughput than the CT scheme and NCT scheme. The phenomenon can be described as follows. At the sensing stage, the MAC protocol is capable to probe and detect more unoccupied channels, than that of the NCT scheme. The CT scheme uses entire FUs to join in the CS mechanism which enhances the sensing overhead and degrades the throughput. On the other hand, the proposed MAC protocol initiates the

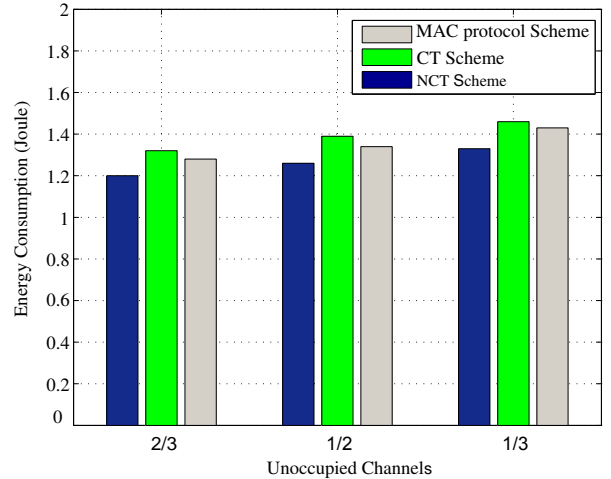


Fig. 5: Comparison of Different Schemes in Terms of Energy Consumption for $\varepsilon = 0.6$

optimum number of the cooperative FUs to guarantee against the condition that the net cooperative sensing aloft to be restricted, this further leads to even more throughput. As a result, the MAC protocol scheme is capable of obtaining elevated throughput with lower-level sensing overhead. As illustrated in the simulation outcomes, the suggested MAC protocol scheme can obtain even better network operation in contrast to other two schemes, particularly for 5G networks.

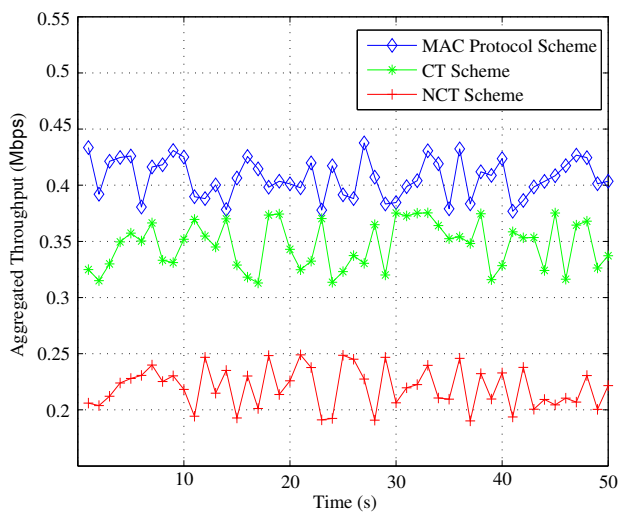


Fig. 6: Comparison of Different Schemes in Terms of Aggregated Throughput for Unoccupied Channels Equal to 2/3

4. Conclusion

In this letter, we proposed a MAC protocol operation based framework to improve the overall performance of 5G networks. Initially, we presented the PS and EV model, CT model, and MAC protocol model to get useful insights in harvested energy. Thereafter, an EV based MAC protocol operation, compatible in a CR aided 5G wireless networks, has been demonstrated to show appreciable improvement in aggregated throughput and EE.

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